

# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Attorney Docket No.  
275.00030102

Application No.  
10/038,984

Applicants: *Li et al.*

PAGE 1 of 31

Information Disclosure Statement Mailed :

December 19, 2006

Filing Date: January 4, 2002

Group Art Unit: 1635

PTO Form 1449

## U.S. PATENT DOCUMENTS

Initial	Copy Enclosed	Document No.	Date	Name	Class	Sub-Class	Filing Date
/T.V./		US 2002/0086356 A1	07/04/2002	Tuschl <i>et al.</i>			
		US 2002/0168707 A1	11/14/2002	Graham			
		US 2003/0018993 A1	01/23/2003	Gutterson <i>et al.</i>			
		US 2003/0036197 A1	02/20/2003	Glassman <i>et al.</i>			
		US 2003/0061626 A1	03/27/2003	Plaetinck <i>et al.</i>			
		US 2003/0074684 A1	04/17/2003	Graham <i>et al.</i>			
		US 2003/0159161 A1	08/21/2003	Graham <i>et al.</i>			
		US 2003/0165894 A1	09/04/2003	Waterhouse <i>et al.</i>			
		US 2004/0022748 A1	02/05/2004	Ananthapadmanabhan <i>et al.</i>			
		US 2004/0064842 A1	04/01/2004	Graham <i>et al.</i>			
		US 2004/0138168 A1	07/15/2004	Satishchandran <i>et al.</i>			
		US 2004/0180439 A1	09/16/2004	Graham <i>et al.</i>			
		US 2004/0237145 A1	11/25/2004	Graham <i>et al.</i>			
		US 2004/0266005 A1	12/30/2004	Graham <i>et al.</i>			
		US 2005/0250208 A1	11/10/2005	Graham <i>et al.</i>			
		US 2006/0014715 A1	01/19/2006	Graham <i>et al.</i>			
		US 3,931,397	01/06/1976	Harnden			
		US 4,130,641	12/19/1978	Ts'o <i>et al.</i>			
		US 4,283,393	08/11/1981	Field <i>et al.</i>			
		US 4,469,863	09/04/1984	Ts'o <i>et al.</i>			
		US 4,605,394	08/12/1986	Skurkovich			
		US 4,766,072	08/23/1988	Jendrisak <i>et al.</i>			
		US 5,024,938	06/18/1991	Nozaki <i>et al.</i>			
		US 5,034,323	07/23/1991	Jorgensen <i>et al.</i>			
		US 5,173,410	12/22/1992	Ahlquist			
		US 5,190,931	03/02/1993	Inouye			
		US 5,208,149	05/04/1993	Inouye			
		US 5,231,020	07/27/1993	Jorgensen <i>et al.</i>			
		US 5,272,065	12/21/1993	Inouye <i>et al.</i>			
		US 5,365,015	11/15/1994	Grierson <i>et al.</i>			
		US 5,453,566	09/26/1995	Shewmaker <i>et al.</i>			
		US 5,514,546	05/07/1996	Kool			
		US 5,578,716	11/26/1996	Szyf <i>et al.</i>			
		US 5,643,762	07/01/1997	Ohshima <i>et al.</i>			
		US 5,683,985	11/04/1997	Chu <i>et al.</i>			

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>				<b>Attorney Docket No.</b> 275.00030102		<b>Application No.</b> 10/038,984	
				<b>Applicants: Li et al. PAGE 2 of 31</b>			
				<b>Filing Date: January 4, 2002</b>		<b>Group Art Unit: 1635</b>	
<b>U.S. PATENT DOCUMENTS</b>							
Initial	Copy Enclosed	Document No.	Date	Name	Class	Sub-Class	Filing Date
/T.V./		US 5,686,649	11/11/1997	Chua et al.			
		US 5,691,140	11/25/1997	Noren et al.			
		US 5,693,773	12/02/1997	Kandimalla et al.			
		US 5,714,323	02/03/1998	Oshima et al.			
		US 5,739,309	04/14/1998	Dattagupta et al.			
		US 5,747,338	05/05/1998	Giese et al.			
		US 5,795,715	08/18/1998	Livache et al.			
		US 5,798,265	08/25/1998	Springer et al.			
		US 5,808,036	09/15/1998	Kool			
		US 5,814,500	09/29/1998	Dietz			
		US 5,850,026	12/15/1998	DeBonte et al.			
		US 5,874,555	02/23/1999	Dervan et al.			
		US 5,908,779	06/01/1999	Carmichael et al.			
		US 5,972,704	10/26/1999	Draper et al.			
		US 5,998,383	12/07/1999	Wright et al.			
		US 6,010,908	01/04/2000	Gruenert et al.			
		US 6,022,863	02/08/2000	Peyman			
		US 6,054,299	04/25/2000	Conrad			
		US 6,133,024	10/17/2000	Helene et al.			
		US 6,291,504	09/18/2001	Nugiel et al.			
		US 6,369,038	04/09/2002	Blumenfeld et al.			
		US 6,372,965	04/16/2002	Lightner et al.			
		US 6,423,885	07/23/2002	Waterhouse et al.			
		US 6,531,647	03/11/2003	Baulcombe et al.			
		US 6,635,805	10/21/2003	Baulcombe et al.			
		US 09/646,807	Not Published	Graham et al.			
		US 60/117,635	Not Published	Li et al.			
		US 60/130,377	Not Published	Pachuk et al.			
Examiner			Date Considered				
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>				<b>Attorney Docket No.</b> 275.00030102		<b>Application No.</b> 10/038,984	
				<b>Applicants:</b> <i>Li et al.</i> <b>PAGE 3 of 31</b>			
				<b>Filing Date:</b> January 4, 2002		<b>Group Art Unit:</b> 1635	
<b>FOREIGN PATENT DOCUMENTS</b>							
Initial	Copy Enclosed	Document No.	Date	Country	Class	Sub-Class	Translation
/T.V./	X	AU 200195225 A1	01/31/2002	Australia			
	X	AU 729454	02/01/2001	Australia			
	X	AU 743316	01/24/2002	Australia			
	X	CA 2012312 C	09/16/1990	Canada			
	X	CA 2370628 A1	10/26/2000	Canada			
	X	DE 199 03 713.2	Not Published	Germany			
	X	EP 0 213 921 A2	03/11/1987	Europe			
	X	EP 0 213 921 B1	08/08/1990	Europe			
	X	EP 0 242 016 A1	10/21/1997	Europe			
	X	EP 0 242 016 B1	01/08/1992	Europe			
	X	EP 0 281 380 A2	09/07/1988	Europe			
	X	EP 0 281 380 B1	11/29/1995	Europe			
	X	EP 0 286 224 A2	10/12/1988	Europe			
	X	EP 0 286 224 B1	11/25/1992	Europe			
	X	EP 0 300 680 A2	01/25/1989	Europe			
	X	EP 0 300 680 A3	06/19/1991	Europe			
	X	EP 0 300 680 B1	09/11/1996	Europe			
	X	EP 0 303 516 A2	02/15/1989	Europe			
	X	EP 0 303 516 B1	07/06/1994	Europe			
	X	EP 0 306 347 A2	03/08/1989	Europe			
	X	EP 0 306 347 A3	10/03/1990	Europe			
	X	EP 0 306 347 B1	05/10/1995	Europe			
	X	EP 0 308 066 A2	03/22/1989	Europe			
	X	EP 0 308 066 A3	01/16/1991	Europe			
	X	EP 0 308 066 B1	12/27/1995	Europe			
	X	EP 0 318 281 A2	05/31/1989	Europe			
	X	EP 0 318 281 A3	10/10/1990	Europe			
	X	EP 0 325 018 A2	07/26/1989	Europe			
	X	EP 0 347 501 A1	12/27/1989	Europe			
	X	EP 0 350 151 A2	01/10/1990	Europe			
	X	EP 0 350 151 A3	10/03/1990	Europe			
	X	EP 0 350 151 B1	03/30/1994	Europe			
V	X	EP 0 465 572 B1	06/14/1995	Europe			
Examiner			Date Considered				
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>				<b>Attorney Docket No.</b> 275.00030102		<b>Application No.</b> 10/038,984	
				<b>Applicants: Li et al.                      PAGE 4 of 31</b>			
				<b>Filing Date: January 4, 2002</b>		<b>Group Art Unit: 1635</b>	
<b>FOREIGN PATENT DOCUMENTS</b>							
Initial	Copy Enclosed	Document No.	Date	Country	Class	Sub-Class	Translation
/T.V./	X	EP 0 560 156 A2	09/15/1993	Europe			No
	X	EP 0 921 195 A1	06/09/1999	Europe			
	X	EP 0 983 370 A1	03/08/2000	Europe			
	X	EP 0 983 370 B1	09/17/2003	Europe			
	X	EP 1 229 134 A2	08/07/2002	Europe			
	X	EP 1 229 134 A3	01/28/2004	Europe			
	X	GB 2353282 A	02/21/2001	Great Britain			
	X	GB 2377221 A	01/08/2003	Great Britain			
	X	JP 09-110894 A	04/28/1997	Japan			No
	X	JP 09-227413 A	09/02/1997	Japan			No
	X	WO 90/11682 A1	10/18/1990	WIPO			
	X	WO 90/12094 A1	10/18/1990	WIPO			
	X	WO 90/12488 A2	11/01/1990	WIPO			
	X	WO 90/14090 A1	11/29/1990	WIPO			
	X	WO 92/18522 A1	10/29/1992	WIPO			
	X	WO 92/19732 A1	11/12/1992	WIPO			No
	X	WO 93/17098 A1	09/02/1993	WIPO			
	X	WO 93/23551 A1	11/25/1993	WIPO			
	X	WO 94/01550 A1	01/20/1994	WIPO			
	X	WO 94/17194 A1	08/04/1994	WIPO			
	X	WO 95/03406 A2	02/02/1995	WIPO			
	X	WO 95/03406 A3	09/14/1995	WIPO			
	X	WO 95/10607 A1	04/20/1995	WIPO			
	X	WO 95/18223 A1	07/06/1995	WIPO			
	X	WO 95/18854 A1	07/13/1995	WIPO			
	X	WO 95/23225 A2	08/31/1995	WIPO			
	X	WO 95/27783 A1	10/19/1995	WIPO			
	X	WO 95/34668 A2	12/21/1995	WIPO			
	X	WO 95/34668 A3	02/01/1996	WIPO			
	X	WO 95/34668 A3	04/18/1996	WIPO			
	X	WO 95/35706 A1	11/14/1996	WIPO			
	X	WO 96/08558 A1	03/21/1996	WIPO			
	X	WO 97/01952 A1	01/23/1997	WIPO			
	X	WO 97/07668 A1	03/06/1997	WIPO			
Examiner			Date Considered				
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>				<b>Attorney Docket No.</b> 275.00030102		<b>Application No.</b> 10/038,984	
				<b>Applicants: Li et al. PAGE 5 of 31</b>			
				<b>Filing Date: January 4, 2002</b>		<b>Group Art Unit: 1635</b>	
<b>FOREIGN PATENT DOCUMENTS</b>							
Initial	Copy Enclosed	Document No.	Date	Country	Class	Sub-Class	Translation
/T.V./	X	WO 97/10360 A1	03/20/1997	WIPO			
	X	WO 97/34638 A1	09/25/1997	WIPO			
	X	WO 97/44450 A1	11/27/1997	WIPO			
	X	WO 98/05770 A3	03/26/1998	WIPO			
	X	WO 98/18811 A1	05/07/1998	WIPO			
	X	WO 98/37213 A1	08/27/1998	WIPO			
	X	WO 98/44138 A1	10/08/1998	WIPO			
	X	WO 98/53083 A1	11/26/1998	WIPO			
	X	WO 99/09045 A1	02/25/1999	WIPO			
	X	WO 99/15682 A2	04/01/1999	WIPO			
	X	WO 99/25853 A1	05/27/1999	WIPO			
	X	WO 01/04313 A1	01/18/01	WIPO			
	X	WO 01/48183 A2	07/05/01	WIPO			
	X	WO01/48183 A3	12/06/01	WIPO			
	X	WO 01/70949 A1	09/27/2001	WIPO			
	X	WO 01/88114 A2	11/22/2001	WIPO			
	X	WO 01/88114 A3	06/20/2002	WIPO			
	X	WO 02/044321 A2	06/06/2002	WIPO			
	X	WO 02/044321 A3	10/23/2003	WIPO			
	X	WO 03/006477 A1	01/23/2003	WIPO			
	X	WO 03/022052 A1	03/20/2003	WIPO			
	X	WO 03/027298 A1	04/03/2003	WIPO			
	X	WO 03/056012 A1	07/10/2003	WIPO			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>							
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>				<div style="position: relative; width: 100%;"> <div style="position: absolute; left: 0; top: 0; bottom: 0; border-left: 1px solid black; width: 10px;"></div> <div style="position: absolute; left: 10px; top: 0; bottom: 0; width: 100%;"></div> </div>			
<div style="position: relative; width: 100%;"> </div>							

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  PTO Form 1449		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants:</b> Li <i>et al.</i> <b>PAGE 6 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
<b>Initial</b>	<b>Copy Enclosed</b>		
/T.V./	X	"somatic cell," on-line medical dictionary, <a href="http://cancerweb.ncl.ac.uk/cgi-bin/">http://cancerweb.ncl.ac.uk/cgi-bin/</a> (January 2006).	
	X	Agrawal <i>et al.</i> , "RNA Interference: Biology, Mechanism, and Applications" <i>Microb. Mot. Biol. Rev.</i> 67:657-685 (2003).	
	X	Agrawal <i>et al.</i> , "Self-Stabilized Oligonucleotides as Novel Antisense Agents," in <u>Delivery Strategies: antisense oligonucleotide therapeutics</u> , Ahktar <i>et al.</i> , Eds., pp. 105-121 CRC Press, Inc., Boca Raton, Florida (1995).	
	X	Agrawal, "Antisense oligonucleotides: towards clinical trials," <i>TIBTECH</i> 14: 376-387 (1996).	
	X	Akgun <i>et al.</i> , "Palindrome Resolution and Recombination in the Mammalian Germ Line", <i>Mol. Cell. Biol.</i> 17: 5559-5570 (September 1997).	
	X	Akhtar <i>et al.</i> , "Anti-HIV therapy with antisense oligonucleotides and ribozymes: realistic approaches or expensive myths?" <i>J. Antimicrob. Chemother.</i> 38: 159-165 (1996).	
	X	Ambion, "pT7/T3 18" and "pT7/T3 19" 4 pages (date unknown).	
	X	Anderson, "Human gene therapy," <i>Nature</i> 392:25-30 (1998).	
	X	Annex A filed in EP 99 910 039.9.	
	X	Annex B filed in EP 99 910 039.9 (September 9, 2005).	
	X	Annex C filed in EP 99 910 039.9 (September 9, 2005).	
	X	Annex D filed in EP 99 910 039.9 (September 9, 2005).	
	X	Appeal against decision to refuse a European patent application issued July 11, 2005, filed in EP 99 910 039.9 (September 9, 2005).	
	X	Assaad <i>et al.</i> , "Epigenetic repeat-induced gene silencing (RIGS) in <i>Arabidopsis</i> ," <i>Plant Molecular Biology</i> 22(6): 1067-1085 (1993).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants:</b> Li <i>et al.</i> <b>PAGE 7 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
/T.V./	X	Author unknown, "Breakthrough of the Year #4: Still hot," <i>Science</i> 302:2038-2045 (2003).	
↓	X	Bahner <i>et al.</i> , "Transduction of Human CD34 <sup>+</sup> Hematopoietic Progenitor Cells by a Retroviral Vector Expressing an RRE Decoy Inhibits Human Immunodeficiency Virus Type I Replication in Myelomonocytic Cells Produced in Long-Term Culture," <i>J. Virol.</i> 70:4352-4360 (1996).	
↓	X	Balandin <i>et al.</i> , "Silencing of a $\beta$ -1-3-glucanase transgene is overcome during seed formation," <i>Plant Molecular Biology</i> 34(1):125-137 (1997).	
↓	X	Barbeau <i>et al.</i> , "Characterization of the human and mouse Fli-1 promoter regions," <i>Biochim. Biophys. Acta</i> 1307: 220-232 (1996).	
↓	X	Barlow <i>et al.</i> , "Interferon synthesis in the early post-implantation mouse embryo," <i>Differentiation</i> 27:229-235 (1984).	
↓	X	Bass, "RNA Interference: The short answer," <i>Nature</i> 411:428-429 (2001).	
↓	X	Baulcombe, "RNA as a target and an initiator of post-transcriptional gene silencing in transgenic plants," <i>Plant Molecular Biology</i> 32(1-2):79-88 (1996).	
↓	X	Baum <i>et al.</i> , "Inhibition of Protein Synthesis in Reticulocyte Lysates by a Double-Stranded RNA Component in Hela mRNA," <i>Biochem. Biophys. Res. Commun</i> 114:41-49 (1983).	
↓	X	Beretta <i>et al.</i> , "Expression of the protein kinase PKR is modulated by IRF-1 and is reduced in 5q- associated leukemias," <i>Oncogene</i> 12:1593-1596 (1996).	
↓	X	Betz, "RNAi: RNA Interference," <i>Promega Notes Magazine</i> , Number 83, pp. 33-36 (2003).	
↓	X	Bevec <i>et al.</i> , "Constitutive Expression of Chimeric <i>Neo</i> -Rev Response Element Transcripts Suppresses HIV-1 Replication in Human CD4 <sup>+</sup> T Lymphocytes," <i>Hum. Gene Ther.</i> 5:193-201 (1994).	
↓	X	Bevilacqua, <i>et al.</i> , "Antisense RNA inhibits endogenous gene expression in mouse preimplantation embryos: Lack of double-stranded RNA "melting" activity," <i>Proc. Natl. Acad. Sci. USA</i> 85:831-835 (1988).	
↓	X	Bhan <i>et al.</i> , "2',5'-Linked Oligo-3'-deoxyribonucleoside phosphorothiate chimeras: thermal stability and antisense inhibition of gene expression" <i>Nucl. Acids Res.</i> 1(16):3310-3317 (1997).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants: Li et al. PAGE 8 of 31</b>	
		<b>Filing Date: January 4, 2002</b>	<b>Group Art Unit: 1635</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
<b>Initial</b>	<b>Copy Enclosed</b>		
T.V./	X	Bigler <i>et al.</i> , "Novel location and function of a thyroid hormone response element," <i>EMBO J.</i> 14:5710-5723 (1995).	
	X	Billy <i>et al.</i> "Specific interference with gene expression induced by long, double stranded RNA in mouse embryonal teratocarcinoma cell lines," <i>Proc. Natl. Acad. Sci. USA</i> 98(25):14428-14433 (2001).	
	X	Bingham, "Cosuppression Comes to the Animals," <i>Cell</i> 90(3):385-387 (1997).	
	X	Birchler <i>et al.</i> , "Making noise about silence: repression of repeated genes in animals" <i>Curr. Opin. Genet. Develop.</i> 10:211-216 (2000).	
	X	Bisat <i>et al.</i> , "Differential and cell type specific expression of murine alpha-interferon genes is regulated on the transcriptional level," <i>Nucl. Acids Res.</i> 13:6067-6083 (1988).	
	X	Boldin <i>et al.</i> , "Involvement of MACH, a Novel MORT1/FADD-Interacting Protease, in Fas/APO-1- and TNF Receptor-Induced Cell Death" <i>Cell</i> 85:803-815 (1996).	
	X	Borecky <i>et al.</i> , "Therapeutic Use of Double-Stranded RNAs in Man" <i>Tex. Rep. Biol. Med.</i> 14:575-581 (1981-1982).	
	X	Braich <i>et al.</i> , "Regiospecific Solid-Phase Synthesis of Branched Oligonucleotides. Effect of Vicinal 2',5'- (or 2',3'-) and 3',5' Phosphodiester Linkages on the Formation of Hairpin DNA" <i>Bioconjugate Chem.</i> 8:370-377 (1997).	
	X	Brand <i>et al.</i> , "The Tat Protein Of Human Immunodeficiency Virus Type 1 Is a Substrate and Inhibitor of the Interferon-induced, Virally Activated Protein Kinase, PKR," <i>J. Biol. Chem.</i> 272:8388-8395 (1997).	
	X	Brigneti <i>et al.</i> , "Viral pathogenicity determinants are suppressors of transgene silencing in <i>Nicotiana benthamiana</i> ," <i>EMBO J.</i> 17(22):6739-6746 (1998).	
	X	Brown <i>et al.</i> , "Identification through Overexpression and Tagging of the Variant Type of the Mouse H1e and H1c Genes," <i>J. Biol. Chem.</i> 268:713-718 (1993).	
↓	X	Brummelkamp <i>et al.</i> , "Stable suppression of tumorigenicity by virus-mediated RNA," <i>Cancer Cell</i> 2:243-247 (2002).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			



<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants:</b> Li <i>et al.</i> <b>PAGE 9 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
<b>Initial</b>	<b>Copy Enclosed</b>		
/T.V./	X	Brummelkamp <i>et al.</i> , "A System for Stable Expression of Short Interfering RNAs in Mammalian Cells," <i>Science</i> 296:550-553 (2002).	
	X	Brummell <i>et al.</i> , "Inverted repeat of a heterologous 3'-untranslated region for high-efficiency, high-throughput gene silencing" <i>Plant J.</i> 33:793-800 (2003).	
	X	Buchan <i>et al.</i> , "Characterization of three non-peptide endothelin receptor ligands using human cloned ET <sub>a</sub> and ET <sub>b</sub> receptors," <i>Br. J. Pharmacol.</i> 112: 1251-1257 (1994).	
	X	Burke <i>et al.</i> , "Appearance of Interferon Inducibility and Sensitivity During Differentiation of Murine Tetrocarcinoma Cells in Vitro," <i>Cell</i> 13(2):243-248 (1978).	
	X	Cameron <i>et al.</i> , "Multiple Domains in a Ribozyme Construct Confer Increased Suppressive Activity in Monkey Cells" <i>Antisense Res. Develop.</i> 4:87-94 (1994).	
	X	Cameron <i>et al.</i> , "Inhibition of gene expression by a short sense fragment," <i>Nucl. Acids Res.</i> 19(3):469-475 (1991).	
	X	Chernajovsky <i>et al.</i> , "Human Kinesin Light ( $\beta$ ) Chain Gene: DNA Sequence and Functional Characterization of Its Promoter and First Exon," <i>DNA Cell Biol.</i> 15: 965-974 (1996).	
	X	Christy <i>et al.</i> , "Functional Analysis of the Long Terminal Repeats of Intracisternal A-Particle Genes: Sequences within the U3 Region Determine Both the Efficiency and Direction of Promoter Activity," <i>Mol. Cell. Biol.</i> 8:1093-1102 (1988).	
	X	Chuah <i>et al.</i> , "Inhibition of Human Immunodeficiency Virus Type-1 by Retroviral Vectors Expressing Antisense-TAR," <i>Human Gene Therapy</i> 5:1467-1475 (1994).	
	X	Clusel <i>et al.</i> , "Ex vivo regulation of specific gene expression by nanomolar concentration of double-stranded dumbbell oligonucleotides," <i>Nucl. Acids Res.</i> 21:3405-3411 (1993).	
	X	Clusel <i>et al.</i> , "Inhibition of HSV-1 Proliferation by Decoy Phosphodiester Oligonucleotides Containing ICP4 Recognition Sequences," <i>Gene Expression</i> 4:301-309 (1995).	
	X	Cogoni <i>et al.</i> , "Suppression of gene expression by homologous transgenes," <i>Antonie Van Leeuwenhoek</i> 65(3):205-209 (1994).	
↓	X	Cogoni <i>et al.</i> , "Transgene silencing of the <i>al-1</i> gene in vegetative cells of <i>Neurospora</i> is mediated by a cytoplasmic effector and does not depend on DNA-DNA interactions or DNA methylation," <i>EMBO J.</i> 15(12):3153-3163 (1996).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
<b>PTO Form 1449</b>		<b>Applicants: Li <i>et al.</i>      PAGE 10 of 31</b>	
		<b>Filing Date: January 4, 2002</b>	<b>Group Art Unit: 1635</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
/T.V./	X	Cogoni <i>et al.</i> , "Isolation of quelling-defective (qde) mutants impaired in posttranscriptional transgene-induced gene silencing in <i>Neurospora crassa</i> ," <i>Proc. Natl. QAcad. Sci. USA</i> 94(19):10233-10238 (1997).	
	X	Cogoni <i>et al.</i> , "Post-transcriptional gene silencing across kingdoms" <i>Curr. Opin. Genet. Devel.</i> 10:638-643 (2000).	
	X	Cogoni <i>et al.</i> , "Gene silencing in <i>Neurospora crassa</i> requires a protein homologous to RNA-dependent RNA polymerase," <i>Nature</i> 399:166-169 (1999).	
	X	Cogoni <i>et al.</i> , "Posttranscriptional Gene Silencing in <i>Neurospora</i> by a RecQ DNA Helicase," <i>Science</i> 286:2342-2344 (1999).	
	X	Cohli <i>et al.</i> , "Inhibition of HIV-1 Multiplication in a Human CD4 <sup>+</sup> Lymphocytic Cell Line Expressing Antisense and Sense RNA Molecules Containing HIV-1 Packaging Signal and Rev Response Element(s)," <i>Antisense Research and Development</i> 4:19-26 (1994).	
	X	Coleman <i>et al.</i> , "The Use of RNAs Complementary to Specific mRNAs to Regulate the Expression of Individual Bacterial Genes" <i>Cell</i> 37:429-436 (1984).	
	X	Copy of the European Register for DE 199 03 713.2	
	X	Copy of the European Register for WO 00/63364	
	X	Copy of the European Register for WO 00/44914	
	X	Courtney-Gutterson <i>et al.</i> , "Modification of Flower Color in Florist's Chrysanthemum: Production of White-Flowering Variety Through Molecular Genetics," <i>Biotechnology</i> 12(3):268-271 (1994).	
	X	Couzin, "Small RNAs Make Big Splash" <i>Science</i> 298:2296-2297 (2002).	
	X	Czauderna <i>et al.</i> , "Structural variations and stabilising modifications of synthetic siRNAs in mammalian cells" <i>Nucl. Acids Res.</i> 31(11):1-12 (2003).	
	X	Dalmay <i>et al.</i> , "An RNA-Dependent RNA Polymerase Gene in <i>Arabidopsis</i> Is Required for Posttranscriptional Gene Silencing Mediated by a Transgene but Not by a Virus," <i>Cell</i> 101:543-553 (2000).	
↓	X	de Carvalho <i>et al.</i> , "Suppression of $\beta$ -1,3-glucanase transgene expression in homozygous Plants," <i>EMBO J.</i> 11(7):2595-2602 (1992).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants:</b> Li <i>et al.</i> <b>PAGE 11 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
<b>Initial</b>	<b>Copy Enclosed</b>		
/T.V./	X	de Carvalho Niebel <i>et al.</i> , "Post-Transcriptional Cosuppression of $\beta$ -1,3-Glucanase Genes Does Not Affect Accumulation of Transgene Nuclear mRNA," <i>Plant Cell</i> 7(3):347-358 (1995).	
	X	De Lange <i>et al.</i> , "Suppression of Flavonoid Flower Pigmentation Genes in <i>Petunia hybrida</i> by the Introduction of Antisense and Sense Genes," <i>Current Topics in Microbiology and Immunology</i> 197:57-75 (1995).	
	X	Decision to refuse a European patent application dated July 11, 2005, filed in EP 99 910 039.9, 13 pages.	
	X	DeCoy <i>et al.</i> , "Anti sense DNA Down-regulates Protein Kinase C- $\epsilon$ and Enhances Vasopressin-stimulated Na <sup>+</sup> Absorption In Rabbit Cortical Collecting Duct," <i>J. Clin. Invest.</i> 95:2749-2756 (1995).	
	X	Depicker <i>et al.</i> , "Post-transcriptional gene silencing in plants," <i>Current Opinion in Cell Biology</i> 9(3):373-382 (1997).	
	X	Di Serio <i>et al.</i> , "Sense- and antisense-mediated gene silencing in tobacco is inhibited by the same viral suppressors and is associated with accumulation of small RNAs," <i>Proc. Natl. Acad. Sci. USA</i> 98:6506-6510 (2001).	
	X	Ding, "RNA silencing," <i>Current Opinion in Biotechnology</i> 11:152-156 (2000).	
	X	Dobrikova <i>et al.</i> , "T7 DNA-dependent RNA polymerase can transcribe RNA from tick-borne encephalitis virus (TBEV) cDNA with SP6 promoter," <i>FEBS Lett.</i> 382:327-329 (1996).	
	X	Doench <i>et al.</i> , "siRNAs can function as miRNAs" <i>Genes Dev.</i> 17:438-442 (2003).	
	X	Dolnick, "Naturally Occurring Antisense RNA," <i>Pharm. Ther.</i> 75:179-184 (1997).	
	X	Domeier <i>et al.</i> , "A Link Between RNA Interference and Nonsense-Mediated Decay in <i>Caenorhabditis elegans</i> ," <i>Science</i> 289:1928-1930 (2000).	
	X	Dorer <i>et al.</i> , "Expansions of Transgene Repeats Cause Heterochromatin Formation and Gene Silencing in <i>Drosophila</i> ," <i>Cell</i> 77:993-1002 (1994).	
↓	X	Dorer <i>et al.</i> , "Transgene Repeat Arrays Interact with Distant Heterochromatin and Cause Silencing in <i>cis</i> and <i>trans</i> ," <i>Genetics</i> 147(3):1181-1190 (1997).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants:</b> Li <i>et al.</i> <b>PAGE 12 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
<b>Initial</b>	<b>Copy Enclosed</b>		
/T.V./	X	Dougherty <i>et al.</i> , "RNA-Mediated Virus Resistance In Transgenic Plants: Exploitation Of A Cellular Pathway Possibly Involved In RNA Degradation," <i>Mol. Plant-Microbe Interactions</i> 7(5):544-552 (1994).	
	X	Dronkert <i>et al.</i> , "Mouse <i>RAD54</i> Affects DNA Double-Strand Break Repair and Sister Chromatid Exchange," <i>Mol. Cell. Biol.</i> 20:3147-3156 (2000).	
	X	Dykxhoorn <i>et al.</i> , "Killing the Messenger: Short RNAs that Silence Gene Expression" <i>Nature Reviews Molecular Cell Biology</i> 4:457-467 (2003).	
	X	Elbashir <i>et al.</i> , "Functional Anatomy of siRNAs for mediating efficient RNAi in <i>Drosophila melanogaster</i> embryo lysate" <i>EMBO J.</i> 20(23):6877-6888 (2001).	
	X	Elbashir <i>et al.</i> , "Analysis of gene function in somatic mammalian cells using small interfering RNAs," <i>Methods</i> 26:199-213 (2002).	
	X	Elroy-Stein <i>et al.</i> , "Cytoplasmic expression system based on constitutive synthesis of bacteriophage T7 RNA polymerase in mammalian cells," <i>Proc. Natl. Acad. Sci. USA</i> 87:6743-6747 (1990).	
	X	Engdahl <i>et al.</i> , "A two unit antisense RNA cassette test system for silencing of target genes," <i>Nucl. Acids Res.</i> 25(16):3218-3227 (1997).	
	X	English <i>et al.</i> , "Suppression of Virus Accumulation in Transgenic Plants Exhibiting Silencing of Nuclear Genes," <i>Plant Cell</i> 8(2):179-188 (1996).	
	X	Escude <i>et al.</i> , "Stable triple helices formed by oligonucleotide 3' → 5' phosphoramidates inhibit transcription elongation," <i>Proc. Natl. Acad. Sci. USA</i> 93:4365-4369 (April 1996).	
	X	European Search Report mailed June 3, 2005, for European patent application no. 04015041.9, filed March 19, 1999, 4 pages.	
	X	Extract from Henderson's Dictionary of Biological Terms, 10 <sup>th</sup> Edition, "blastomere," (1989).	
	X	Extract from Henderson's Dictionary of Biological Terms, 10 <sup>th</sup> Edition, "somatic cells," (1989).	
V	X	Extract from the New Oxford Dictionary of English, "somatic cells," (1998).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
<b>PTO Form 1449</b>		<b>Applicants: Li et al.      PAGE 13 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
<b>Initial</b>	<b>Copy Enclosed</b>		
/T.V./	X	Extract from Henderson's Dictionary of Biological Terms, 10 <sup>th</sup> Edition, "totipotent," (1989).	
	X	Faruqi et al., "IFN-γ Inhibits Double-Stranded RNA-Induced E-Selectin Expression in Human Endothelial Cells," <i>J. Immunol.</i> 159:3989-3994 (1997).	
	X	Fiaschi et al., "The 5'-untranslated region of the human muscle acylphosphatase mRNA has an inhibitory effect on protein expression," <i>FEBS Lett.</i> 417:130-134 (1997).	
	X	Finkler et al., "Immunity and resistance to the KP6 toxin of <i>Ustilago maydis</i> ," <i>Mol. Gen. Genet.</i> 233:395-403 (1992).	
	X	Francis et al., "Control of β-Interferon Expression in Murine Embryonal Carcinoma F9 Cells," <i>Mol. Cell. Biol.</i> 9:3553-3556 (1989).	
	X	Fraser et al., "Effects of c-myc first exons and 5' synthetic hairpins on RNA translation in oocytes and early embryos of <i>Xenopus laevis</i> ," <i>Oncogene</i> 12(6):1223-1230 (1996).	
	X	Fuerst et al., "Eukaryotic transient-expression system based on recombinant vaccinia virus that synthesizes bacteriophage T7 RNA polymerase," <i>Proc. Natl. Acad. Sci. USA</i> 83:8122-8126 (1986).	
	X	Gao et al., "Human genes encoding u3 SnRNA associate with coiled bodies in interphase cells and are clustered on chromosome 17p11.2 in a complex inverted repeat structure," <i>Nucl. Acids Res.</i> 25:4740-4747 (1997).	
	X	Garrick et al., "Repeat-induced gene silencing in mammals," <i>Nature Genetics</i> 18(1):56-59 (1998).	
	X	Gervais et al., "Multigene Antiviral Vectors Inhibit Diverse Human Immunodeficiency Virus Type 1 Clades," <i>J. Virol.</i> 71(4):3048-3053 (1997).	
	X	Gessani et al., "Activators of Protein Kinase C Enhance Accumulation of interferon-β mRNA in Murine Cell Lines," <i>J. Interferon Res.</i> 9:543-550 (1989).	
	X	Gimmi et al., "alterations in the pre-mRNA topology of the bovine growth hormone polyadenylation region decrease poly(A) site efficiency," <i>Nucl. Acids Res.</i> 17:6983-6998 (1989).	
	X	Giordano et al., "RNAi Triggered By Symmetrically Transcribed Transgenes in <i>Drosophila melanogaster</i> " <i>Genetics</i> 160:637-648 (2000).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants:</b> Li <i>et al.</i> <b>PAGE 14 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
/T.V./	X	Giovannangeli <i>et al.</i> , "Accessibility of nuclear DNA to triplex-forming oligonucleotides: the integrated HIV-1 provirus as a target," <i>Proc. Natl. Acad. Sci. USA</i> 94:79-84 (1997).	
	X	Gitlin <i>et al.</i> , "Poliovirus Escape from RNA Interference: Short Interfering RNA-Target Recognition and Implications for Therapeutic Approaches," <i>J. Virol.</i> 79:1027-1035 (2005).	
	X	Goff <i>et al.</i> , "Analysis of Hoxd-13 and Hoxd-11 Misexpression in Chick Limb Buds Reveals that Hox Genes Affect Both Bone Condensation and Growth," <i>Development</i> 124:627-636 (1997).	
	X	Good <i>et al.</i> , "Expression of small, therapeutic RNAs in human cell nuclei," <i>Gene Ther.</i> 4(1): 45-54 (1997).	
	X	Grabarek <i>et al.</i> , "Efficient Delivery of dsRNA into Zona-enclosed Mouse Oocytes and Preimplantation Embryos by Electroporation," <i>Genesis</i> 32(4):269-276 (2002).	
	X	Grabarek <i>et al.</i> , "RNA Interference by Production of Short Hairpin dsRNA in ES Cells, Their Differentiated Derivatives, and in Somatic Cell Lines," <i>Biotechniques</i> 34(4):734-744 (April 2003).	
	X	Graham <i>et al.</i> , "A Rapid and Reliable Method to Create Tandem Arrays of Short DNA Sequences," <i>BioTech.</i> 13:780-789 (1992).	
	X	Graham <i>et al.</i> , "RNA Transcripts of The Human Immunodeficiency Virus Transactivation Response Element Can Inhibit Action of The Viral Transactivator," <i>Proc. Natl. Acad. Sci. USA</i> 87:5817-5821 (1990).	
	X	Grasby <i>et al.</i> , "Purine Functional Groups in Essential Residues of the Hairpin Ribozyme Required for Catalytic Cleavage of RNA" <i>Biochemistry</i> 34:4068-4076 (1995).	
	X	Griffey <i>et al.</i> , "2'O-Aminopropyl Ribonucleotides: A Zwitterionic Modification That Enhances The Exonuclease Resistance and Biological Activity of Antisense Oligonucleotides" <i>J. Med. Chem.</i> 39:5100-5109 (1996).	
	X	Groger <i>et al.</i> , "Directional Antisense and cDNA Cloning Using Epstein-Barr Virus Episomal Expression Vectors," <i>Gene</i> 81:285-294 (1989).	
	X	Gryaznov <i>et al.</i> , "Template Controlled Coupling and Recombination of Oligonucleotide Blocks Containing Thiophosphoryl Groups" <i>Nucl. Acids Res.</i> 21(6):1403-1408 (1993).	
↓	X	Gura, "A silence that speaks volumes," <i>Nature</i> 404:804-808 (2000).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
<b>PTO Form 1449</b>		<b>Applicants: Li et al.      PAGE 15 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
T.V.	X	Ha et al., "A Bulged lin-4/lin-14 RNA Duplex is Sufficient For <i>Caenorhabditis elegans</i> lin-14 Temporal Gradient Formation" <i>Genes Dev.</i> 10:3041-3050 (1996).	
↓	X	Hacker et al., "Expression of SRY, The Mouse Sex Determining Gene," <i>Development</i> 121:1603-1614 (1995).	
↓	X	Haggarty et al., "An embryonic DNA-binding protein specific for a region of the human IFNβ <sub>1</sub> promoter," <i>Nucl. Acids Res.</i> 16:10575-10592 (1988).	
↓	X	Haines et al., "Cellular Response To Double-Stranded RNA," <i>J. Cell. Biochem.</i> 46:9-20 (1991).	
↓	X	Hamilton et al., "A transgene with repeated DNA causes high frequency, post-transcriptional suppression of ACC-oxidase gene expression in tomato," <i>Plant J.</i> 15(6):737-746 (1998).	
↓	X	Hammond et al., "An RNA-directed nuclease mediates post-transcriptional gene silencing in <i>Drosophila</i> cells," <i>Nature</i> 404:293-296 (2000).	
↓	X	Hannon, "RNA Interference" <i>Nature</i> 418:244-251 (2002).	
↓	X	Harada et al., "Absence of the Type I IFN System in EC Cells: Transcriptional Activator (IRF-1) and Repressor (IRF-2) Genes are Developmentally Regulated," <i>Cell</i> 63:303-312 (1990).	
↓	X	Harbinder et al., "Genetically Targeted Cell Disruption In <i>Caenorhabditis Elegans</i> ," <i>Proc. Natl. Acad. Sci. USA</i> 94:13128-13133 (1997).	
↓	X	Harborth et al. "Sequence, Chemical, and Structural Variation of Small Interfering RNAs and SHort Hairpin RNAs and the Effect on Mammalian Gene Silencing" <i>Antisense and Nucleic Acid Drug Development</i> 13:83-105 (2003).	
↓	X	Harborth et al. "Identification of essential genes in cultured mammalian cells using small interfering RNAs," <i>J. Cell Science</i> 114:4557-4565 (2001).	
↓	X	Harcourt et al., "Ebola Virus Inhibits Induction of Genes by Double-Stranded RNA in Endothelial Cells," <i>Virology</i> 252:179-188 (1998).	
↓	X	Harfe et al., "Analysis of a <i>Caenorhabditis elegans</i> Twist Homolog Identifies Conserved and Divergent Aspects of Mesodermal Patterning," <i>Genes Dev.</i> 12:2623-2635 (1998).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants: Li et al.                      PAGE 16 of 31</b>	
		<b>Filing Date: January 4, 2002</b>	<b>Group Art Unit: 1635</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
<b>Initial</b>	<b>Copy Enclosed</b>		
/T.V./	X	Henderson <i>et al.</i> , "Instability of a Plasmid-Borne Intervet Repeat in <i>Saccharomyces cerevisiae</i> ," <i>Genetics</i> 134:57-62 (1993).	
	X	Henry <i>et al.</i> , "Mechanism of interferon action. Translational control and the RNA-dependent protein kinase (PKR): antagonists of PKR enhance the translational activity of mRNAs that include a 161 nucleotide region from reovirus S1 mRNA," <i>J. Biol. Regulators Homeostat. Agents</i> 8:15-24 (1994).	
	X	Hirashima <i>et al.</i> , "Artificial Immune System against Viral Infection Involving Antisense RNA targeted to the 5'-Terminal Noncoding Region of Coliphage SP RNA," <i>J. Biochem.</i> 106:163-166 (1989).	
	X	Hirashima <i>et al.</i> , "Engineering of the mRNA-interfering Complementary RNA Immune System Against Viral Infection," <i>Proc. Natl. Acad. Sci. USA</i> 83:7726-7730 (1986).	
	X	Hoke <i>et al.</i> , "Effects of Phosphorothioate Capping On Antisense Oligonucleotide Stability, Hybridization and Antiviral Efficacy Versus Herpes Simplex Virus Infection" <i>Nucl. Acids Res.</i> 19(20):5743-5748 (1991).	
	X	Holen <i>et al.</i> , "Positional effects of short interfering RNAs targeting the human coagulation trigger Tissue Factor" <i>Nucl. Acids Res.</i> 30(8):1757-1766 (2002).	
	X	Hungarian Patent Office Search Report mailed July 13, 2004 for Hungarian patent application no. P0101225, 1 page.	
	X	Imazeki <i>et al.</i> , "Integrated Structures of Duck Hepatitis B Virus DNA in Hepatocellular Carcinoma," <i>J. Virol.</i> 62:861-865 (1988).	
	X	International Search Report mailed on May 10, 1999, for PCT patent application no. PCT/AU99/00195, filed on March 19, 1999: 3 pages.	
	X	International Search Report mailed on May 10, 2001, for PCT patent application no. PCT/AU01/00297, filed on March 16, 2001: 3 pages.	
	X	International Search Report mailed on November 14, 2002, for PCT patent application no. PCT/AU02/01326, filed on September 27, 2002: 5 pages.	
	X	Invitrogen, Map for pcDNA1, 1 page (date unknown).	
↓	X	James, "Towards gene-inhibition therapy: a review of progress and prospects in the field of antiviral antisense nucleic acids and ribozymes," <i>Antiviral Chem. &amp; Chemother.</i> 2(4):191-214 (1991).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			



<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
<b>PTO Form 1449</b>		<b>Applicants: Li et al.                      PAGE 17 of 31</b>	
		<b>Filing Date: January 4, 2002</b>	<b>Group Art Unit: 1635</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
/T.V./	X	Jorgensen <i>et al.</i> , "Do Unintended Antisense Transcripts Contribute To Sense Cosuppression in Plants," <i>TIG</i> 15:11-12 (1999).	
↓	X	Jorgensen, "Altered gene expression in plants due to trans interactions between homologous genes," <i>Trends Biotechnol.</i> 8(12):340-344 (1990).	
↓	X	Jorgensen <i>et al.</i> , "Chalcone synthase cosuppression phenotypes in petunia flowers: comparison of sense vs. antisense constructs and single-copy vs. complex T-DNA sequences," <i>Plant Mol. Biol.</i> 31(5):957-973 (1996).	
↓	X	Kappel <i>et al.</i> , "Regulating gene expression in transgenic animals," <i>Curr. Opin. Biotechnol.</i> 3:548-553 (1992).	
↓	X	Katsuki <i>et al.</i> , "Conversion of Normal Behavior to Shiverer by Myelin Basic Protein Antisense cDNA in Transgenic Mice," <i>Science</i> 241(4865):593-595 (1988).	
↓	X	Kibler <i>et al.</i> , "Double-Stranded RNA is a Trigger for Apoptosis in Vaccinia Virus-Infected Cells." <i>J. Virol.</i> 71:1992-2003 (1997).	
↓	X	Kirchhoff <i>et al.</i> , "IRF-1 induced cell growth inhibition and interferon induction requires the activity of the protein kinase PKR," <i>Oncogene</i> 11:439-445 (1995).	
↓	X	Kitabwalla <i>et al.</i> , "RNA Interference - A New Weapon Against HIV and Beyond" <i>New Engl. J. Med.</i> 347(17):1364-1367 (2002).	
↓	X	Klaff <i>et al.</i> , "RNA Structure and The Regulation of Gene Expression," <i>Plant Mol. Biol.</i> 32:89-106 (1996).	
↓	X	Klink <i>et al.</i> , "The Efficacy of RNAi in the Study of the Plant Cytoskeleton" <i>J. Plant Growth Reg.</i> 19:371-384 (2000).	
↓	X	Knoester <i>et al.</i> , "Modulation of stress-inducible ethylene biosynthesis by sense and antisense gene expression in tobacco," <i>Plant Science</i> 126:173-183 (1997).	
↓	X	Kook <i>et al.</i> , "The effect of antisense inhibition of urokinase receptor in human squamous cell carcinoma on malignancy," <i>EMBO J.</i> 13(17):3983-3991 (1994).	
↓	X	Kowolik <i>et al.</i> , "Locus Control Region of the Human CD2 Gene in a Lentivirus Vector Confers Position-Independent Transgene Expression" <i>J. Virol.</i> 75(10):4641-4648 (2001).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants:</b> Li <i>et al.</i> <b>PAGE 18 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
<b>Initial</b>	<b>Copy Enclosed</b>		
/T.V./	X	Kowolik <i>et al.</i> , "Preferential Transduction of Human Hepatocytes with Lentiviral Vectors Pseudotyped By Sendai Virus F Protein" <i>Molecular Therapy</i> 5(6):762-769 (2002)	
	X	Kozak, "Influences of mRNA secondary structure on initiation by eukaryotic ribosomes," <i>Proc. Natl. Acad. Sci. USA</i> 83:2850-2854 (1986).	
	X	Kozak, "Circumstances and Mechanisms of Inhibition of Translation by Secondary Structure in Eucaryotic mRNAs," <i>Mol. Cell. Biol.</i> 9:5134-5142 (1989).	
	X	Kreutzer, "Specific inhibition of viral gene expression by double-stranded RNA in vitro" Fall Meeting S169. <b>No date available</b>	
	X	Krystal <i>et al.</i> , "Multiple Mechanisms for Transcriptional Regulation of the myc Gene Family in Small-Cell Lung Cancer," <i>Mol. Cell. Biol.</i> 8:3373-3381 (1988).	
	X	Krystal <i>et al.</i> , "N-myc mRNA Forms an RNA-RNA Duplex with Endogenous Antisense Transcripts," <i>Mol. Cell. Biol.</i> 10:4180-4191 (1990).	
	X	Kunz <i>et al.</i> , "Developmentally regulated silencing and reactivation of tobacco chitinase transgene expression," <i>Plant J.</i> 10(3):437-450 (1996).	
	X	Kurreck, "Antisense technologies. Improvement thorough novel chemical modifications," <i>Eur. J. Biochem</i> 270:1628-1644 (2003).	
	X	Leach <i>et al.</i> , "Viability of $\lambda$ phages carrying a perfect palindrome in the absence of recombination nucleases," <i>Nature</i> 305:448-451 (1983).	
	X	Leach <i>et al.</i> , Long DNA palindromes, cruciform structures, genetic instability and secondary structure repair," <i>BioEssays</i> 16:893-900 (1994).	
	X	Lee <i>et al.</i> , "The C. elegans Heterochronic Gene <i>lin-4</i> Encodes Small RNAs with Antisense Complementarity to <i>lin-14</i> ," <i>Cell</i> 75:843-854 (1993).	
	X	Lee <i>et al.</i> , "The Hemagglutinin Genes <i>hagB</i> and <i>hagC</i> of <i>Porphyromonas gingivalis</i> Are Transcribed in Vivo as Shown by Use of a New Expression Vector," <i>Infect. Immun.</i> 64:4802-4810 (1996).	
	X	Lee <i>et al.</i> , "Inhibition of Human Immunodeficiency Virus Type 1 in Human T Cells by a Potent Rev Response Element Decoy Consisting of 13-Nucleotide Minimal Rev-Binding Domain," <i>J. Virol.</i> 68(12):8254-8264 (1994).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984	
		<b>Applicants:</b> Li <i>et al.</i>		<b>PAGE 19 of 31</b>
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>				
Initial	Copy Enclosed			
/T.V./	X	Lee <i>et al.</i> , "Post-transcriptional gene silencing of ACC synthase in tomato results from cytoplasmic RNA degradation," <i>Plant J.</i> 12(5):1127-1137 (1997).		
	X	Lin <i>et al.</i> , "Policing Rogue Genes" <i>Nature</i> 402:128-129 (1999).		
	X	Lindbo <i>et al.</i> , "Pathogen-Derived Resistance To A Potyvirus: Immune And Resistant Phenotypes In Transgenic Tobacco Expressing Altered Forms Of A Potyvirus Coat Protein Nucleotide Sequence," <i>Mol. Plant-Microbe Interactions</i> 5(2):144-153 (1992).		
	X	Lingelbach <i>et al.</i> , "An extended RNA/RNA duplex structure within the coding region of mRNA does not block translational elongation," <i>Nucl. Acids Res.</i> 16:3405-3414 (1988).		
	X	Lipinski <i>et al.</i> , "Experimental and computational approaches to estimate solubility and permeability in drug discovery and development settings" <i>Advanced Drug Delivery Reviews</i> 23:3-25 (1997).		
	X	Liszewicz <i>et al.</i> , "Tat-Regulated Production of Multimerized TAR RNA Inhibits HIV-1 Gene Expression" <i>New Biologist</i> 3:82-89 (1991).		
	X	Liszewicz <i>et al.</i> , "Inhibition of human immunodeficiency virus type 1 replication by regulated expression of a polymeric Tat activation response RNA decoy as a strategy for gene therapy in AIDS," <i>Proc. Natl. Acad. Sci. USA</i> 90:8000-8004 (1993).		
	X	Lloyd <i>et al.</i> , "Identification and Genetic Analysis of <i>sbvC</i> mutations in commonly used <i>recBC sbvB</i> strains of <i>escherichia coli</i> K-12," <i>J. Bacteriol.</i> 164:836-844 (1985).		
	X	Longman <i>et al.</i> , "Functional characterization of SR and SR-related genes in <i>Caenorhabditis elegans</i> ," <i>EMBO J.</i> 19:1625-1637 (2000).		
	X	Loomis <i>et al.</i> , "Antisense RNA Inhibition of Expression of a Pair of Tandemly Repeated Genes Results in a Delay in Cell-Cell Adhesion in <i>Dictyostelium</i> ," <i>Antisense Res. Dev.</i> 1:255-260 (1991).		
	X	Ma <i>et al.</i> , "Design and Synthesis of RNA Miniduplexes via a Synthetic Linker Approach" <i>Biochemistry</i> 32:1751-1758 (1993).		
	X	Mace <i>et al.</i> , "Interferon-regulated viral replication in chronically HIV1-infected promonocytic U937 cells," <i>Res. Viral.</i> 142:213-220 (1991).		
	X	Majumdar <i>et al.</i> , "Targeted Gene Knockout Mediated by Triple Helix Forming Oligonucleotides" <i>Nat. Genet.</i> 20:212-214 (1998).		
Examiner		Date Considered		
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
<b>PTO Form 1449</b>		<b>Applicants: Li et al.                      PAGE 20 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
T.V.J.	X	Manche <i>et al.</i> , "Interactions Between Double Stranded RNA Regulators and the Protein Kinase DAI," <i>Mol. Cell. Biol.</i> 12(11):5238-5248 (1992).	
↓	X	Marathe <i>et al.</i> , "RNA viruses as inducers, suppressors and targets of post-transcriptional gene silencing," <i>Plant Molecular Biology</i> 43:295-306 (2000).	
↓	X	Marcus <i>et al.</i> , "The pGEM <sup>®</sup> -T and pGEM <sup>®</sup> -T Easy Vector Systems," <i>Promega Notes Magazine</i> , Number 58, 36-38 (1996).	
↓	X	Marx, "Interfering With Gene Expression," <i>Science</i> 288:1370-1372 (2000).	
↓	X	Matthieu <i>et al.</i> , "Myelin-Deficient Mutant Mice: An <i>in Vivo</i> Model for Inhibition of Gene Expression by Natural Antisense RNA," <i>Ann. N.Y. Acad. Sci.</i> 660:188-192 (1992).	
↓	X	Matzke <i>et al.</i> , "How and Why Do Plants Inactivate Homologous (Trans)genes" <i>Plant Physiol.</i> 107:679-685 (1995).	
↓	X	Matzke <i>et al.</i> , "RNAi Extends Its Reach" <i>Science</i> 301:1060-1061 (2003).	
↓	X	Mayne <i>et al.</i> , "SV40-transformed normal and DNA-repair-deficient human fibroblasts can be transfected with high frequency but retain only limited amounts of integrated DNA," <i>Gene</i> 66:65 (1988).	
↓	X	McCormack <i>et al.</i> , "Mechanism of Interferon Action: Identification of a RNA Binding Domain within the N-terminal Region of the Human RNA-Dependent P1/eIF-2α Protein Kinase," <i>Virology</i> 188:47-56 (1992).	
↓	X	McKenzie <i>et al.</i> , "Xenotransplantation," Eds. Ginns <i>et al.</i> , in <u>Transplantation</u> , Science Inc., pp. 827-874 (1999).	
↓	X	McManus <i>et al.</i> , "Gene Silencing in Mammals By Small Interfering RNAs" <i>Nat. Rev. Genet.</i> 3(10):737-747 (2002).	
↓	X	McManus <i>et al.</i> , "Gene Silencing using micro-RNA designed hairpins" <i>RNA</i> 8:842-850 (2002).	
↓	X	McManus <i>et al.</i> , "Small Interfering RNA-Mediated Gene Silencing in T Lymphocytes," <i>J. Immunol.</i> 169:5754-5760 (2002).	
Examiner			
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants:</b> Li <i>et al.</i> <b>PAGE 21 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
<b>Initial</b>	<b>Copy Enclosed</b>		
/T.V./	X	McNair <i>et al.</i> , "Hepatitis delta virus replication in vitro is not affected by interferon- $\alpha$ or - $\gamma$ despite intact cellular responses to the interferon and dsRNA," <i>J. Gen. Virol.</i> 75:1371-1378 (1994).	
	X	Mercola <i>et al.</i> , "Antisense Approaches to Cancer Gene Therapy," <i>Cancer Gene Ther.</i> 2:47-59 (1995).	
	X	Mette <i>et al.</i> , "Transcriptional Silencing And Promoter Methylation Triggered By Double-Stranded RNA," <i>EMBO J.</i> 19:5194-5201 (2000).	
	X	Meyer, "Repeat-Induced Gene Silencing: Common Mechanisms in Plants and Fungi," <i>Biol. Chem. Hoppe-Seyler</i> 377(2):87-95 (1996).	
	X	Mikoshiba <i>et al.</i> , "Chimeric and Molecular Genetic Analysis of Myelin-Deficient (Shiverer and Mld) Mutant Mice," <i>Ann. N.Y. Acad. Sci.</i> 605:166-182 (1990).	
	X	Mikoshiba <i>et al.</i> , "Molecular biology of myelin basic protein: gene rearrangement and expression of anti-sense RNA in myelin-deficient mutants" <i>Comp. Biochem. Physiol.</i> 98:51-61 (1991).	
	X	Milhaud <i>et al.</i> , "Free and Liposome-Encapsulated Double-Stranded RNAs as Inducers of Interferon, Interleukin-6, and Cellular Toxicity" <i>J. Interferon Res.</i> 11:261-265 (1991).	
	X	Minutes of Oral Proceeding dated July 12, 2005, filed in EP 99 910 039.9.	
	X	Morishita <i>et al.</i> , "Role of Transcriptional <i>cis</i> -Elements, Angiotensinogen Gene-Activating Elements, of Angiotensinogen Gene in Blood Pressure Regulation," <i>Hypertension</i> 27:502-507 (1996).	
	X	Moroni <i>et al.</i> , "EGF-R Antisense RNA Blocks Expression of the Epidermal Growth Factor Receptor and Suppresses the Transforming Phenotype of a Human Carcinoma Cell Line," <i>J. Biol. Chem.</i> 267(4):2714-2722 (1992).	
	X	Morris <i>et al.</i> , "Small Interfering RNA-Induced Transcriptional Gene Silencing in Human Cells," <i>Science</i> 305:1289-1292 (2004).	
	X	Moss <i>et al.</i> , "The Cold Shock Domain Protein LIN-28 Controls Development Timing in <i>C. elegans</i> and is Regulated by the lin-4 RNA" <i>Cell</i> 88:637-646 (1997).	
↓	X	Mueller <i>et al.</i> , "Homology-dependent resistance: transgenic virus resistance in plants related to homology-dependent gene silencing," <i>Plant J.</i> 7(6):1001-1013 (1995).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
<b>PTO Form 1449</b>		<b>Applicants: Li <i>et al.</i></b> <b>PAGE 22 of 31</b>	
		<b>Filing Date: January 4, 2002</b>	<b>Group Art Unit: 1635</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
/T.V./	X	Muskens <i>et al.</i> , "Role of inverted DNA repeats in transcriptional and post-transcriptional gene silencing," <i>Plant Mol. Biol.</i> 43:243-260 (2000).	
	X	Nagy <i>et al.</i> , "Glyceraldehyde-3-phosphate Dehydrogenase Selectively Binds AU-rich RNA in the NAD <sup>+</sup> -binding Region (Rossmann Fold)," <i>J. Biol. Chem.</i> 270:2755-2763 (1995).	
	X	Napoli <i>et al.</i> , "Introduction of a Chimeric Chalcone Synthase Gene into Petunia Results in Reversible So-Suppression of Homologous Genes in <i>trans</i> ," <i>Plant Cell</i> 2(4):279-289 (1990).	
	X	Nellen, <i>et al.</i> , "What makes an mRNA anti-sense-itive?" <i>Trends in Biochemical Sciences</i> 18(11):419-423 (1993).	
	X	Nielsen <i>et al.</i> , "A novel class of conformationally restricted oligonucleotide analogues: synthesis of 2', 3'-bridged monomers and RNA-selective hybridisation" <i>Chem. Commun.</i> 9:825-826 (1997).	
	X	Nieth <i>et al.</i> , "Modulation of the classical multidrug resistance (MDR) phenotype by RNA interference (RNAi)," <i>FEBS Letters</i> 545:144-150 (2003).	
	X	Nikiforov <i>et al.</i> , "Oligodeoxynucleotides containing 4-thiothymidine and 6-thiodeoxyguanosine as affinity labels for the Eco RV restriction endonuclease and modification methylase," <i>Nucl. Acids Res.</i> 20(6):1209-1214 (1992).	
	X	Noguchi <i>et al.</i> , "Characterization of an Antisense Inr Element in the eIF-2 $\alpha$ Gene," <i>J. Biol. Chem.</i> 269:29161-29167 (1994).	
	X	Okano <i>et al.</i> , "Myelin Basic Protein Gene and the Function of Antisense RNA in its Repression in Myelin-Deficient Mutant Mouse," <i>J. Neurochem.</i> 56:560-567 (1991).	
	X	Paddison <i>et al.</i> , "Short hairpin RNAs (shRNAs) induce sequence-specific silencing in mammalian cells" <i>Genes and Development</i> 16:948-958 (2002).	
	X	Paddison <i>et al.</i> , "RNA interference: the new somatic cell genetics?" <i>Cancer Cell</i> 2:17-23 (2002).	
	X	Pal-Bhadra <i>et al.</i> , "Cosuppression in Drosophila: Gene Silencing of Alcohol dehydrogenase by white-Adh Transgenes is Polycomb Dependent," <i>Cell</i> 90(3):479-490 (1997).	
↓	X	Palaugui <i>et al.</i> , "Transgenes are dispensable for the RNA degradation step of cosuppression," <i>Plant Biology</i> 95:9675-9680 (1998).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
<b>PTO Form 1449</b>		<b>Applicants:</b> Li <i>et al.</i> <b>PAGE 23 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
T.V./	X	Palmiter <i>et al.</i> , "Transmission Distortion and Mosaicism in an Unusual Transgenic Mouse Pedigree," <i>Cell</i> 36:869-877 (1984).	
	X	Pang <i>et al.</i> , "Nontarget DNA sequences reduce the transgene length necessary for RNA-mediated tospovirus resistance in transgenic plants," <i>Proc. Natl. Acad. Sci. USA</i> 94(15):8261-8266 (1997).	
	X	Park <i>et al.</i> , "Specific inhibition of HIV-1 gene expression by double-stranded RNA," <i>Nucl. Acids Res. Suppl.</i> No. 1:219-220 (2001).	
	X	Park <i>et al.</i> , "Prevention of HIV-1 infection in human peripheral blood mononuclear cells by specific RNA interference," <i>Nucl. Acids Res.</i> 30(22):4830-4835 (2002).	
	X	Park <i>et al.</i> , "Gene silencing mediated by promotor homology occurs at the level of transcription and results in meiotically heritable alterations in methylation and gene activity," <i>Plant J.</i> 9(2):183-194 (1996).	
	X	Pe'ery <i>et al.</i> , "Synthesis and Purification of Single-Stranded RNA for Use in Experiments with PKR and in Cell-Free Translation Systems," <i>Methods</i> 11:371-381 (1997).	
	X	Pegram <i>et al.</i> , "Phase II study of Receptor-Enhanced Chemosensitivity Using Recombinant Humanized Anti-p185 <sup>HER2neu</sup> Monoclonal Antibody Plus Cisplatin in Patients With HER2/Neu-Overexpressing Metastatic Breast Cancer Refractory to Chemotherapy Treatment" <i>Journal of Clinical Oncology</i> 16(8):2659-2671 (1998).	
	X	Pelletier <i>et al.</i> , "Insertion mutagenesis to increase secondary structure within the 5' noncoding region of a eukaryotic mRNA reduces translational efficiency," <i>Cell</i> 40:515-526 (1985).	
	X	Peng <i>et al.</i> , "Development of an MFG-Based Retroviral Vector System for Secretion of High Levels of Functionally Active Human BMP4" <i>Molecular Therapy</i> 4(2):95-104 (2001).	
	X	Peyman <i>et al.</i> , "Molecular Biology and The Vascular Surgeon," in <u>Basic Science of Vascular Disease</u> , Chapter 2, pp. 17-68 (1997).	
	X	Piccin <i>et al.</i> , "Efficient and Heritable Functional Knock-out of an Adult Phenotype in Drosophila using a GAL4-Driven Hairpin RNA Incorporating a Heterologous Spacer," <i>Nucl. Acids Res.</i> 29(12) E55:1-5 (2001).	
	X	Plasterk <i>et al.</i> , "The Silence of the Genes," <i>Curr. Opin. Gen. Dev.</i> 10:562-567 (2000).	
V	X	Pratt <i>et al.</i> , "Regulation of In Vitro Translation by Double-stranded RNA in Mammalian Cell mRNA Preparations," <i>Nucl. Acids Res.</i> 16:3497-3510 (1988).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
<b>PTO Form 1449</b>		<b>Applicants: Li et al.      PAGE 24 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
T.V.	X	Putlitz <i>et al.</i> , "Specific Inhibition Of Hepatitis B Virus Replication By Sense RNA," <i>Antisense &amp; Nucleic Acid Drug Development</i> 9:241-252 (1999).	
	X	Que <i>et al.</i> , "The Frequency And Degree Of Cosuppression By Sense Chalcone Synthase Transgenes Are Dependent on Transgene Promoter Strength and Are Reduced by Premature Nonsense Codons in the Transgene Coding Sequence," <i>Plant Cell</i> 9:1357-1368 (1997).	
	X	Que <i>et al.</i> , "Homology-Based Control of Gene Expression Patterns in Transgenic Petunia Flowers," <i>Developmental Genetics</i> 22(1):100-109 (1998).	
	X	Randall <i>et al.</i> , "Clearance of replicating hepatitis C virus replicon RNAs in cell culture by small interfering RNAs," <i>Proc. Natl. Acad. Sci. USA</i> 100(1):235-240 (2003).	
	X	Raponi <i>et al.</i> , "Double-stranded RNA-mediated Gene Silencing In Fission Yeast," <i>Nucl. Acids Res.</i> 31:4481-4489 (2003).	
	X	Regalado, "Turning Off Genes Sheds New Light On How They Work" <i>The Wall Street Journal</i> , 4 pages (August 2002).	
	X	Reply to Summons to attend Oral Proceeding filed May 13, 2005 in European Patent Application No. 99 910 039.9, 9 pages.	
	X	Request for correction of minutes filed August 2, 2005 in EP 99 910 039.9, 3 pages.	
	X	Resnekov <i>et al.</i> , "RNA Secondary Structure Is an Integral Part of the <i>in Vitro</i> Mechanism of Attenuation in Simian Virus 40," <i>J. Biol. Chem.</i> 264:9953-9959 (1989).	
	X	Reuben <i>et al.</i> , "Cloning and Expression of The Rabbit Gastric CCK-A Receptor," <i>Biochim. Biophys. Acta</i> 1219:321-327 (1994).	
	X	Robertson <i>et al.</i> , "Age-dependent silencing of globin transgenes in the mouse," <i>Nucl. Acids Res.</i> 24:1465-1471 (1996).	
	X	Rodriguez <i>et al.</i> , "Regulated Expression of Nuclear Genes by T3 RNA Polymerase and lac Repressor, Using Recombinant Vaccinia Virus Vectors," <i>J. Virol.</i> 64:4851-4857 (1990).	
V	X	Romano <i>et al.</i> , "Quelling: transient inactivation of gene expression in <i>Neurospora crassa</i> by transformation with homologous sequences," <i>Mol. Microbiol.</i> 6(22):3343-3353 (1992).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			



<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
<b>PTO Form 1449</b>		<b>Applicants: Li et al.                      PAGE 25 of 31</b>	
		<b>Filing Date: January 4, 2002</b>	<b>Group Art Unit: 1635</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
/T.V./	X	Roy <i>et al.</i> , "Effect of mRNA secondary structure on the efficiency of Translational Initiation by Eukaryotic Ribosomes," <i>Eur. J. Biochem.</i> 191:647-652 (1990).	
↓	X	Ruskin <i>et al.</i> , "Mutations in POL1 Increase the Mitotic Instability of Tandem Inverted Repeats in <i>Saccharomyces cerevisiae</i> ," <i>Genetics</i> 133:43-56 (1993).	
↓	X	Sabl <i>et al.</i> , "Copy Number and Orientation Determine the Susceptibility of a Gene to Silencing by Nearby Heterochromatin in <i>Drosophila</i> ," <i>Genetics</i> 142:447-458 (1996).	
↓	X	Sadiq <i>et al.</i> , "Developmental Regulation of Antisense-Mediated Gene Silencing in <i>Dictyostelium</i> ," <i>Antisense Research &amp; Development</i> 4(4):263-267 (1994).	
↓	X	Sarver <i>et al.</i> , "Ribozymes as Potential Anti-HIV-1 Therapeutics Agents" <i>Science</i> 247:1222-1225 (1990).	
↓	X	Schaefer <i>et al.</i> , "Antisense RNA control of gene expression in bacteriophage P22. I. Structures of sar RNA and its target, ant mRNA," <i>RNA</i> 3(2):141-156 (1997).	
↓	X	Schaller, "The Role of Sterols in Plant Growth and Development," <i>Prog. Lipid Res.</i> 42:163-175 (2003).	
↓	X	Schmidt <i>et al.</i> , "Cycloheximide Induction of Aflatoxin Synthesis in a Nontoxigenic Strain of <i>Aspergillus flavus</i> " <i>Bio/Technology</i> 1:794-795 (1983).	
↓	X	Schmidt, "RNA Interference Detected 20 years ago," <i>Nat. Biotechnol.</i> 22:267-268 (2004).	
↓	X	Schmidt <i>et al.</i> , "Viral Influences on Aflatoxin Formation by <i>Aspergillus flavus</i> ," <i>Appl. Microbiol. Biotechnol.</i> 24:248-252 (1986).	
↓	X	Schmitt <i>et al.</i> , "Characterization of cloned sequences complementary to F9 cell double-stranded RNA and their expression during differentiation," <i>Differentiation</i> 30:205-210 (1986).	
↓	X	Schramke <i>et al.</i> , "Hairpin RNAs and Retrotransposon LTRs Effect RNAi and Chromatin-Based Gene Silencing" <i>Science</i> 301:1069-1074 (2003).	
↓	X	Schwarz <i>et al.</i> , "Evidence that siRNAs Function as Guides, Not Primers in the <i>Drosophila</i> and Human RNAi Pathways," <i>Molecular Cell</i> 10:537-548 (2002).	
↓	X	Selker, "Gene Silencing: repeats that count," <i>Cell</i> 97(2):157-160 (1999).	
↓	X	Shaffer, "RNAi Shakes up Bio CEO Investor Conference," <i>Biotech News</i> 24:30 (2004).	
↓	X	Sharp, "RNAi and Double-Strand RNA," <i>Genes Dev.</i> 13:139-141 (1999).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants: Li et al. PAGE 26 of 31</b>	
		<b>Filing Date: January 4, 2002</b>	<b>Group Art Unit: 1635</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
<b>Initial</b>	<b>Copy Enclosed</b>		
/T.V./	X	Shi <i>et al.</i> , "A CBP/p300 Homolog Specifies Multiple Differentiation Pathways in <i>Caenorhabditis elegans</i> " <i>Genes Dev.</i> (12)7:943-955 (1998).	
↓	X	Shinagawa <i>et al.</i> , "Generation of Ski-knockdown mice by expressing a long double-strand RNA from an RNA polymerase II promoter," <i>Genes Dev.</i> 17:1340-1345 (2003).	
↓	X	Sijen <i>et al.</i> , "RNA-Mediated Virus Resistance: Role of Repeated Transgenes and Delineation of Targeted Regions," <i>Plant Cell</i> 8(12):2277-2294 (1996).	
↓	X	Silverman, "Role of Sequences Within The First Intron in the Regulation of Expression of Eukaryotic Initiation Factor 2α," <i>J. Biol. Chem.</i> 267:9738-9742 (1992).	
↓	X	Simons, "Naturally Occurring Antisense RNA Control – A Brief Review," <i>Gene</i> 72:35-44 (1988).	
↓	X	Singer <i>et al.</i> , "Genetic and Epigenetic Inactivation of Repetitive Sequences in <i>Neurospora crassa</i> : RIP, DNA Methylation, and Quelling," <i>Current Topics in Microbiology and Immunology</i> 197:165-177 (1995).	
↓	X	Sinha, "Large-Scale Synthesis: Approaches to Large-Scale Synthesis of Oligodeoxynucleotides and their Analog" <i>Antisense From Technology to Therapy Lab Manual and Textbook</i> 6:30-58 (1997).	
↓	X	Skripkin <i>et al.</i> , "Psoralen Crosslinking Between Human Immunodeficiency Virus Type 1 RNA and Primer tRNA <sub>3</sub> <sup>Lys</sup> ," <i>Nucl. Acids Res.</i> 24(3):509-514 (1996).	
↓	X	Smardon <i>et al.</i> , "EGO-1 is related to RNA-directed RNA polymerase an functions in germ-line development and RNA interference in <i>C. elegans</i> ," <i>Current Biology</i> 10(4):169-178 (2000).	
↓	X	Smith <i>et al.</i> , "Total Silencing by Intron-spliced Hairpin RNAs," <i>Nature</i> 407:319-320 (2000).	
↓	X	Smith <i>et al.</i> , "Transgenic plant virus resistance mediated by untranslatable sense RNAs: expression, regulation and fate of nonessential RNAs," <i>Plant Cell</i> 6(10):1441-1453 (1994).	
↓	X	Smolinski <i>et al.</i> , "Double-Stranded RNA Induces Sick Erythrocyte Adherence to Endothelium: A Potential Role for Viral Infection in Vaso-Occlusive Pain Episodes in Sick Cell Anemia," <i>Blood</i> 85:2945-2950 (1995).	
↓	X	Smythe <i>et al.</i> , "Gene Therapeutic agents: The Use of Ribozymes, Antisense, and RNA Decoys for HIV-1 Infection," <i>Inflamm. Res.</i> 44:11-15 (1995).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants:</b> Li <i>et al.</i> <b>PAGE 27 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
/T.V./	X	Sonoda <i>et al.</i> , "Asymmetric deletion of the junction between the short unique region and the inverted repeat does not affect viral growth in culture and vaccine-induced immunity against Marek's disease," <i>Vaccine</i> 14:277-284 (1996).	
	X	Stam <i>et al.</i> , "The Silence of Genes in Transgenic Plants," <i>Annals of Botany</i> 79(1):3-12 (1997).	
	X	Statement setting out the Grounds of Appeal dated November 11, 2005, filed in EP 99 910 039.9, 11 pages.	
	X	Stein <i>et al.</i> , "Absence of non-specific effects of RNA interference triggered by long double-stranded RNA in mouse oocytes," <i>Dev. Biol.</i> 286(2):464-471 (September 2005).	
	X	Steinecke <i>et al.</i> , "Expression of a Chimeric Ribozyme Gene Results in Endonucleolytic Cleavage of a Target mRNA and a Concomitant Reduction of Gene Expression in vivo" <i>Nucl. Acids Res.</i> 23:1525-1530 (1992).	
	X	Stewart <i>et al.</i> , "Lentivirus-delivered stable gene silencing by RNAi in primary cells," <i>RNA</i> 9:493-501 (2003).	
	X	Strauss, "Candidate Gene Silencers Found" <i>Science</i> 286: 886 (1999).	
	X	Sullenger <i>et al.</i> , "Overexpression of TAR Sequences Renders Cells Resistant to Human Immunodeficiency Virus Replication," <i>Cell</i> 63:601-608 (1990).	
	X	Sullenger <i>et al.</i> , "Expression of Chimeric tRNA-Driven Antisense Transcripts Renders NIH 3T3 Cells Highly Resistant to Moloney Murine Leukemia Virus Replication," <i>Mol. Cell. Biol.</i> 10:6512-6523 (1990).	
	X	Sullenger <i>et al.</i> , "Analysis of trans-acting Response Decoy RNA-Mediated Inhibition of Human Immunodeficiency Virus Type 1 Transactivation," <i>J. Virology</i> 65(12):6811-6816 (1991).	
	X	Sullenger <i>et al.</i> , "Tethering Ribozymes to a Retroviral Packaging Signal for Destruction of Viral RNA" <i>Science</i> . 262:1566-1569 (1993).	
	X	Sun <i>et al.</i> , "Ribozyme-mediated Suppression of Moloney Murine Leukemia Virus and Human Immunodeficiency Virus Type I Replication in Permissive Cell Lines," <i>Proc. Natl. Acad. Sci. USA</i> 91:9715-9719 (1994).	
V	X	Sun <i>et al.</i> , "Resistance to human immunodeficiency virus type 1 infection conferred by transduction of human peripheral blood lymphocytes with ribozyme, antisense, or polymeric trans-activation response element constructs," <i>Proc. Natl. Acad. Sci. USA</i> 92:7272-7276 (1995).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants:</b> Li <i>et al.</i> <b>PAGE 28 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
<b>Initial</b>	<b>Copy Enclosed</b>		
/T.V./	X	Svoboda et al., "RNAi in Mouse Oocytes and Preimplantation Embryos: Effectiveness of Hairpin dsRNA", <i>Biochem. Biophys. Res. Commun.</i> 287(5):1099-1104 (2001).	
	X	Sweetser <i>et al.</i> , "Transgenic mice containing intestinal fatty acid-binding protein-human growth hormone fusion genes exhibit correct regional and cell-specific expression of the reporter gene in their small intestine," <i>Proc. Natl. Acad. Sci. USA</i> 85:9611-9615 (1988).	
	X	Symington, "Role of RAD52 Epistasis Group Genes In Homologous Recombination and Double-Strand Break Repair," <i>Microbiol. Mol. Biol. Rev.</i> 66:630-670 (2002).	
	X	Table describing sequences used to inhibit viral replication. Annex A filed in EP 99 910 039.9.	
	X	Tanaka <i>et al.</i> , "Sequence-specific interaction of $\alpha$ $\beta$ -anomeric double-stranded DNA with the p50 subunit of NF $\kappa$ B: application to the decoy approach," <i>Nucl. Acids Res.</i> 22:3069-3074 (1994).	
	X	Tanzer <i>et al.</i> , "Characterization of Post-Transcriptionally Suppressed Transgene Expression that Confers Resistance to Tobacco Etch Virus Infection in Tobacco," <i>Plant Cell</i> 9(8):1411-1423 (1997).	
	X	Thomis, <i>et al.</i> , "Mechanism of interferon action: Autoregulation of RNA-dependent P1/eIF-2 $\alpha$ protein kinase (PKR) expression in transfected mammalian cells," <i>Proc. Natl. Acad. Sci. USA</i> 89:10837-10841 (1992).	
	X	Tijsterman <i>et al.</i> , "The Genetics of RNA Silencing," <i>Ann. Rev. Genet.</i> 36:489-519 (2002).	
	X	Tosic <i>et al.</i> , "Post-transcriptional events are responsible for low expression of myelin basic protein in myelin deficient mice: role of natural antisense RNA," <i>EMBO J.</i> 9:401-406 (1990).	
	X	Touchette, "Gene Therapy: Not Ready for Prime Time," <i>Nat. Med.</i> 2(1):7-8 (1996).	
	X	Uhlmann <i>et al.</i> , "Antisense Oligonucleotides: A New Therapeutic Principle" <i>Chemical Reviews</i> 9(4):544-584 (1990).	
	X	Usdin <i>et al.</i> , "SP6 RNA Polymerase containing vaccinia virus for rapid expression of cloned genes in tissue culture," <i>BioTech.</i> 14:222-224 (1993).	
↓	X	Vaucheret <i>et al.</i> , "A Transcriptionally Active State is Required for Post-Transcriptional Silencing (Cosuppression) of Nitrate Reductase Host Genes and Transgenes," <i>Plant Cell</i> 9(8):1495-1504 (1997).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
<b>PTO Form 1449</b>		<b>Applicants: Li et al.      PAGE 29 of 31</b>	
		<b>Filing Date: January 4, 2002</b>	<b>Group Art Unit: 1635</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
/T.V./	X	Van der Krol <i>et al.</i> , "Flavonoid Genes in Petunia: Addition of a Limited Number of Gene Copies May Lead to a Suppression of Gene Expression," <i>Plant Cell</i> 2(4):291-299 (1990).	
	X	Van der Krol <i>et al.</i> , "Inhibition of flower pigmentation by antisense CHS genes: promoter and minimal sequence requirements for the antisense effect," <i>Plant Molecular Biology</i> 14(4):457-466 (1990).	
	X	Van Steeg <i>et al.</i> , "The translation in vitro of rat ornithine decarboxylase mRNA is blocked by its 5' untranslated region in a polyamine-independent way," <i>Biochem. J.</i> 274:521-526 (1991).	
	X	Viville, "Mouse Genetic Manipulation via Homologous Recombination," in <u>Transgenic Animals</u> , Houdebine (eds), Harwood academic publishers, France: pp. 307-321 (1997).	
	X	Volloch <i>et al.</i> , "Evolutionarily conserved elements in the 5' untranslated region of $\beta$ globin mRNA mediate site-specific priming of a unique hairpin structure during cDNA synthesis," <i>Nucl. Acids Res.</i> 22:5302-5309 (1994).	
	X	Wall, "Transgenic Livestock: Progress and Prospects for the Future," <i>Theriogenology</i> 45:57-68 (1996).	
	X	Wang <i>et al.</i> , "An Unusual Nucleoporin-Related Messenger Ribonucleic Acid is Present in the Germ Cells of Rat Testis," <i>Biol. Reprod.</i> 51:1022-1030 (1994).	
	X	Wang <i>et al.</i> , "A factor IX-deficient mouse model for hemophilia B gene therapy," <i>Proc. Natl. Acad. Sci. USA</i> 94:11563-11566 (1997).	
	X	Wargelius <i>et al.</i> , "Double-Stranded RNA Induces Specific Developmental Defects in Zebrafish Embryos," <i>Biochem. Biophys. Res. Commun.</i> 263:156-161 (1999).	
	X	Warren <i>et al.</i> , "Comparison of Physical and Genetic Properties of Palindromic DNA Sequences," <i>J. Bacteriol</i> 161:1103-1111 (1985).	
	X	Wassenegger <i>et al.</i> , "Signalling in gene silencing," <i>Trends Plant Sci.</i> 4(6):207-209 (1999).	
V	X	Watson, "A new revision of the sequence of plasmid pBR322," <i>Gene</i> 70:399-403 (1988).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
<b>PTO Form 1449</b>		<b>Applicants:</b> Li <i>et al.</i> <b>PAGE 30 of 31</b>	
		<b>Filing Date:</b> January 4, 2002	<b>Group Art Unit:</b> 1635
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
/T.V./	X	Weaver <i>et al.</i> , "Introduction by molecular cloning of artifactual inverted sequences at the 5' terminus of the sense strand of bovine parathyroid hormone cDNA" <i>Proc. Natl. Acad. Sci. USA</i> 78:4073-4077 (1981).	
	X	Wess <i>et al.</i> , "Early days for RNAi" <i>BioCentury</i> 11(12):A1-23 (2003).	
	X	Williams <i>et al.</i> , "A mouse locus at which transcription from both DNA strands produces mRNAs complementary at their 3' ends," <i>Nature</i> 322:275-279 (1986).	
	X	Wolffe, "Repressed repeats express themselves," <i>Current Biol.</i> 7:R796 (1997).	
	X	Written Opinion mailed on April 17, 2004, for PCT application no PCT/AU03/01177 filed September 9, 2003: 7 pages.	
	X	Wu <i>et al.</i> , "Interferon-Stimulated Response Element and NFκB Sites Cooperate to Regulate Double-Stranded RNA-Induced Transcription of the IP-10 Gene," <i>J. Interferon Res.</i> 14:357-363 (1994).	
	X	Wu <i>et al.</i> , "Double-stranded (ds) RNA Binding and Not Dimerization Correlates with the Activation of the dsRNA-dependent Protein Kinase (PKR)," <i>J. Biol. Chem.</i> 271:1756-1763 (1996).	
	X	Xiong <i>et al.</i> , "Signaling properties of mouse and human corticotropin-releasing factor (CRF) receptors: decreased coupling efficiency of human type II CRF receptor," <i>Endocrin.</i> 136:1828-1834 (1995).	
	X	Yam <i>et al.</i> , "Design of HIV Vectors for Efficient Gene Delivery into Human Hematopoietic Cells," <i>Molecular Therapy</i> 5(4):479-484 (2002).	
	X	Yamamoto <i>et al.</i> , "Double-Stranded <i>nef</i> RNA Interferes with Human Immunodeficiency Virus Type 1 Replication," <i>Microbiol. Immunol.</i> 46(11):809-817 (2002).	
	X	Yamamoto <i>et al.</i> , "Inhibition of transcription by the TAR RNA of HIV-1 in a nuclear extract of HeLa cells," <i>Nucl. Acids Res.</i> 25(17):3445-3450 (1997).	
	X	Yang <i>et al.</i> , "Specific Double-Stranded RNA Interference in Undifferentiated Mouse Embryonic Stem Cells," <i>Mol. Cell. Biol.</i> 21(22):7807-7816 (2001).	
↓	X	Yarney <i>et al.</i> , "Molecular cloning and expression of the ovine testicular follicle stimulating hormone receptor," <i>Mol. Cell. Endroc.</i> 93:219-226 (1993).	
Examiner		Date Considered	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)  <b>PTO Form 1449</b>		<b>Attorney Docket No.</b> 275.00030102	<b>Application No.</b> 10/038,984
		<b>Applicants: Li et al. PAGE 31 of 31</b>	
		<b>Filing Date: January 4, 2002</b>	<b>Group Art Unit: 1635</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>			
Initial	Copy Enclosed		
/T.V./	X	Yee et al., "Prospects for Gene Therapy Using HIV-Based Vectors," <i>Somatic Cell and Molecular Genetics</i> 26(1/6):159-173 (2001).	
↓	X	Yu et al., "Progress towards gene therapy of HIV infection," <i>Gene Therap.</i> 1:13-26 (1994).	
↓	X	Zakharyan et al., "Stimulation of double-spiral RNA Transformation of Prokaryotic and eukaryotic cells," <i>Doklady Akadem: Nauk SSR</i> 288:1251-1253 (1986).	
↓	X	Zamore et al., "RNAi: Double-Stranded RNA Directs the ATP-Dependent Cleavage of mRNA at 21 to 23 Nucleotide Intervals," <i>Cell</i> 101:25-33 (2000).	
↓	X	Zernika-Goetz, "Jumping the gun on mouse gene expression," <i>Nature</i> 405:733 (June 2000).	
↓	X	Zernicka-Goetz et al., "Following cell fate in the living mouse embryo," <i>Development</i> 124:1133-1137 (1997).	
↓	X	Zhao et al., "Generating loss-of-function phenotype of the <i>fushi tarazu</i> gene with a targeted ribozyme in <i>drosophila</i> ," <i>Nature</i> 365:446-451 (1993).	
↓	X	Zhenhua et al., "Expression of Firefly Luciferase Gene in <i>Xenopus laevis</i> oocyte," <i>Chinese J. Biotech.</i> 7:279-284 (1991).	
Examiner		Date Considered	
/Tracy Vivlemore/		02/25/2008	
<b>Examiner:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			